

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15115.081001

In Re Application Of: Kazuyuki HAYAMIZU et al.



Serial No.	Filing Date	Examiner	Group Art Unit
10/611,684	07/01/2003		

Title: **OPTICAL WAVEGUIDE DEVICE, MANUFACTURING METHOD THEREOF, AND OPTICAL
COMMUNICATION APPARATUS**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
 - the statement specified in 37 CFR 1.97(e);
 - OR**
 - the fee set forth in 37 CFR 1.17(p).

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COMMUNICATION APPARATUS**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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- The Director is hereby authorized to charge and credit Deposit Account No. 50-0591
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JPO 079

Signature
Dated: 9/24/03

Jonathan P. Osha, Reg. No. 33,986

ROSENTHAL & OSHA L.L.P.

1221 McKinney, Suite 2800

Houston, Texas 77010

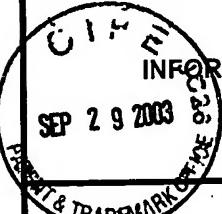
Telephone: (713) 228-8600

Facsimile: (713) 228-8778

CC:

INFORMATION DISCLOSURE CITATION <small>(Use several sheets if necessary)</small>				Docket Number (Optional) 15115.081001		Application Number 10/611,684	
				Applicant(s) Kazuyuki Hayamizu et al.			
				Filing Date 07/01/2003	Group Art Unit		
U.S. PATENT DOCUMENTS							
*EXAMINER'S INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS							
REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
A1	4 - 281406	10/07/1992	Japan	G02B	6/12		✓
A2	8 - 262249	10/11/1996	Japan	G02B	6/13		✓
A3	11 - 145487	05/28/1999	Japan	H01L	31/02		✓
A4	2000 - 304951	11/02/2000	Japan	G02B	6/122		✓
A5	2001 - 154051	06/08/2001	Japan	G02B	6/13		✓
OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
A6	Patent Abstracts of Japan, Publication No. 04-281406 dated 10/07/1992 (2 pgs.)						
A7	Patent Abstracts of Japan, Publication No. 08-262249 dated 10/11/1996 (2 pgs.)						
EXAMINER				DATE CONSIDERED			
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Kazuyuki Hayamizu et al.

FILING

07/01/2003

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

OTHER DOCUMENTS (*Including Author, Title, Date, Pertinent Pages, Etc.*)

	C1	Patent Abstracts of Japan, Publication No. 2001-154051 dated 06/08/2001 (2 pgs.)
	C2	Patent Abstracts of Japan, Publication No. 2001-255429 dated 09/21/2001 (2 pgs.)

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